

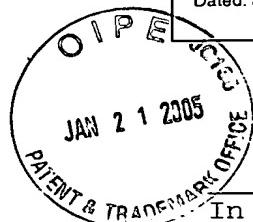
3621  
Dew

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Dated: January 19, 2005

Signature:

(Jeffrey S. Dickey)



Docket No.: SONY 3.0-022  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Reissue Application of:  
Ludtke et al.

Application No.: 10/014,960

Group Art Unit: 3621

Filed: December 11, 2001

Examiner: J. M. Winter

For: METHOD OR SYSTEM FOR EXECUTING  
DEFERRED TRANSACTIONS

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

It is respectfully requested that the reference listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. A copy of the reference is not enclosed. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: January 19, 2005

Respectfully submitted,

By \_\_\_\_\_

Jeffrey S. Dickey

Registration No.: 35,858

LERNER, DAVID, LITTBENBERG,

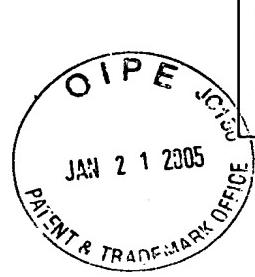
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Alexandria, VA 22313-1450

**CERTIFICATION PURSUANT TO 37 C.F.R. § 1.97(e) (2)**

Dear Sir:

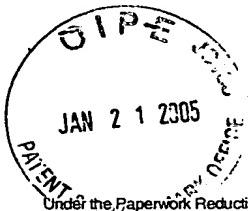
Pursuant to 37 C.F.R. § 1.97(e) (2), undersigned counsel hereby certifies that no item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application to the above-referenced patent application, or, to the knowledge of undersigned counsel, after making reasonable inquiry, no such item of information was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the accompanying Information Disclosure Statement.

Dated: January 19, 2005

Respectfully submitted,

By

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PTO/SB/08a/b (08-03)

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Substitute for form 1449A/B/PTO				<b>Complete if Known</b>	
				Application Number	10/014,960
				Filing Date	December 11, 2001
				First Named Inventor	Harold A. Ludtke
				Art Unit	3621
				Examiner Name	J. M. Winter
Sheet	1	of	1	Attorney Docket Number	SONY 3.0-022

<b>U.S. PATENT DOCUMENTS</b>					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AA		US-5,537,314	07-16-1996	MARK W. KANTER	

<b>FOREIGN PATENT DOCUMENTS</b>					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
					T <sup>6</sup>

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<b>NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T <sup>2</sup>

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<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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Examiner Signature	Date Considered
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